



Certificate Under 37 C.F.R. § 3.73(b)

Applicants: Carradwell, et al.

Application No. 522,117 Filed: March 9, 2000

For: COMPOUNDS CAPABLE OF ACTIVATING CHOLINERGIC RECEPTORS

Targacept, Inc

a corporation

(Name of Assignee)

(Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)

certifies that is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☐ An Assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and trademark Office at Reel , Frame , or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: Inventors To: R.J. Reynolds Tobacco Company
The document was recorded in the Patent and Trademark Office at
Reel 9487, Frame 0346, or which a copy thereof is attached.
2. From: Inventors To: University of Kentucky Research Foundation
The document was recorded in the Patent and Trademark Office at
Reel 9487, Frame 0351, or which a copy thereof is attached.
3. From: R.J. Reynolds Tobacco Company To: Targacept, Inc.
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4. From: University of Kentucky Research Foundation To: Targacept, Inc.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements are made on information and belief to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Name: Don Kirksey, Ph.D.

Date: 07 Mar 02

Title: Vice President, Corporate and Business Development

Signature: [Signature]



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EXPRESS MAIL CERTIFICATE

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Date of Deposit : March 13, 2002

Type of Documents : Power of Attorney by Assignee of Entire Interest (Revocation of Prior Powers) (2 pages);
Certificate Under 37 C.F.R. §3.73(b) (1 page);
and
Return Postcard

Serial No. : 09/522,117

Filing Date : March 9, 2000

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Diane H. Marshall (signature)
Diane H. Marshall